(Rev. December 2014) Department of the Treasury Internal Revenue Service

## **Request for Taxpayer Identification Number and Certification**

Give Form to the requester. Do not send to the IRS.

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Print or type See Specific Instructions on page 2.	A STATE OF THE PRODUCT OF THE PRODUC	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.														
	The University of Tennessee															
	2 Business name/disregarded entity name, if different from above															
	3 Check appropriate box for federal tax classification; check only one of the following seven boxes:  Individual/sole proprietor or C Corporation S Corporation Partnership Trust/estate							4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):								
	single-member LLC						Exempt payee code (if any) 3									
	Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership)							Exemption from FATCA reporting								
	Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.							code (if any)								
	✓ Other (see instructions)      ✓ Instrumentality of the State of Tennessee							(Applies to accounts maintained outside the U.S.)								
	5 Address (number, street, and apt. or suite no.)	Reques	Requester's name				and address (optional)									
	201 Andy Holt Tower															
	6 City, state, and ZIP code															
	Knoxville, TN 37996-0100															
	7 List account number(s) here (optional)															
Par	Taxpayer Identification Number (TIN)															
Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid					Social security number											
backup withholding. For individuals, this is generally your social security number (SSN). However, for a						Γ				T	T	T				
resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a</i>						-			-							
TIN or	get a	or			L			L								
	If the account is in more than one name, see the instructions for line 1 and the chart on pa	age 1 for	_	ploy	er ide	ntifi	icati	on nu	ımb	er						
quidelines on whose number to enter.																
J			6	2	-	6	0	0	1	6	3	6				
Par	t II Certification															
Control of the Control of	r penalties of perjury, I certify that:															
	e number shown on this form is my correct taxpayer identification number (or I am waiting	for a numb	ber to	be	issue	ed to	o me	e); ar	nd							
	m not subject to backup withholding because: (a) I am exempt from backup withholding, o							8.00		nall	2011	nuc				
Se	rvice (IRS) that I am subject to backup withholding as a result of a failure to report all intere- longer subject to backup withholding; and	est or divid	lends	s, or	(c) th	e IF	RS h	as no	otifi	ed m	e th	at I	am			
3. I a	m a U.S. citizen or other U.S. person (defined below); and															
4. The	e FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA repo	rting is cor	rrect.													
	fication instructions. You must cross out item 2 above if you have been notified by the IRS												ng			
becau	use you have failed to report all interest and dividends on your tax return. For real estate tra	insactions	, item	12 d	loes r	not	app	ly. Fo	or m	ortg	age					
	st paid, acquisition or abandonment of secured property, cancellation of debt, contribution ally, payments other than interest and dividends, you are not required to sign the certificati															
	ctions on page 3.	on, but yo	u IIIu	ist p	roviu	e yc	Jui	JOHE	Cti	IIV. C	366	uie				
Sign	Signature of / 2 A	Date ►	1	16	/1	5	•									
General Instructions  • Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T																

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

## Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding? on page 2

By signing the filled-out form, you:

- 1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- 2. Certify that you are not subject to backup withholding, or
- 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- 4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See What is FATCA reporting? on page 2 for further information.